

New York City Department of Correction Quarterly Emergency Lock-In Report FY22 Quarter 1 (July 1st-September 30th)

This report provides information regarding the rate of emergency lock-ins that the New York City Department of Correction (DOC or Department) is required to report pursuant to New York City Administrative Code §9-155. This reporting time frame is from July 1st – September 30th, 2021.

1. The number of department-wide emergency lock-ins, in total and disaggregated by the reason for such emergency lock-in, as determined by the department.

During this timeframe, the Department did not have a department-wide emergency lock-in.

2. The number of facility emergency lock-ins disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department.

Facility	Lock-In Reasons ¹	# Lock-Ins
OBCC	TSO	2
RNDC	TSO	1
VCBC	Escape	1
	TSO	1

3. The number of lock-in extensions disaggregated by facility and housing area, in total and disaggregated by housing area type and reason for lock-in extension.

During this timeframe, the Department did not have any lock-in extensions.

4. The number of partial facility emergency lock-ins disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department.

During this timeframe, the Department did not have a partial facility emergency lock-in.

¹ Emergency Lock-Ins are tracked using the following categories: Assault on Staff, Escape, Fight, Tension, Search, Red Alert, Slashing/Stabbing, SRG Activity, Tactical Search Operation, and UOF Investigation, Investigation- Other and Other.

5. The number of housing area emergency lock-ins disaggregated by facility and housing area, in total and disaggregated by the reason for such emergency lock-in, as determined by the department, and the housing area type.

Facility	HA Type	Lock-In Reasons	# Lock-Ins
AMKC	GP	Assault on Staff	1
		Slashing/Stabbing	17
GRVC	GP	Investigation-other	4
		Other	1 ²
		Slashing/Stabbing	21
		UOF Investigation	13
	RESTRICTIVE	Investigation-other	5
		Other	1
		Slashing/Stabbing	17
NIC	GP	UOF Investigation	9
		Slashing/Stabbing	7
OBCC	GP	UOF Investigation	1
		Incarcerated Individual Fight	2
		Investigation-other	4
		Search	1
RNDC	GP	Slashing/Stabbing	31
		Investigation-other	4
		Slashing/Stabbing	48
VCBC	GP	UOF Investigation	2
		Other	1
		Slashing/Stabbing	5

² Events that fall into the “Other” category in the housing area emergency lock-ins table include occurrences such as investigation into staffing levels and investigation into disturbance.

6. The mean and median number of incarcerated individuals housed in areas affected by housing area emergency lock-ins disaggregated by facility, in total and disaggregated by the housing area type.

Facility	HA Type	Population Count (Mean)	Population Count (Median)
AMKC	GP	29.80	26.00
GRVC	GP	41.05	43.00
	RESTRICTIVE	7.88	5.00
NIC	GP	8.12	9.00
OBCC	GP	24.71	24.00
RNDC	GP	16.90	16.00
VCBC	GP	41.50	44.50

7. (a) The mean and median duration of emergency lock-ins disaggregated by department-wide emergency lock-ins, in total and disaggregated by the reason for such emergency lock-in, as determined by the department; (b) facility emergency lock-ins, in total and disaggregated by the reason for such emergency lock-in, as determined by the department; (c) partial facility emergency lock-ins, in total and disaggregated by the reason for such emergency lock-in, as determined by the department; (d) and housing area emergency lock-ins disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department, and housing area type.

(a) The mean and median duration of department-wide emergency

During this timeframe, the Department did not have a department-wide emergency lock-in.

(b) Mean and median duration of facility emergency lock-in

Facility	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
OBCC	TSO	6.00	6.00
RNDC	TSO	7.00	7.00
VCBC	Escape	12.25	12.25
	TSO	6.50	6.50

(c) Mean and median duration of partial facility emergency lock-in

During this timeframe, the Department did not have a partial facility emergency lock-in.

(d) Mean and median duration of housing area (HA) emergency lock-in

Facility	HA Type	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
AMKC	GP	Assault on Staff	4.00	4.00
		Slashing/Stabbing	4.95	5.00
GRVC	GP	Investigation-other	3.25	2.00
		Other	3.50	3.50
		Slashing/Stabbing	4.46	5.00
		UOF Investigation	4.53	5.00
	RESTRICTIVE	Investigation-other	3.30	2.00
		Other	7.00	7.00
		Slashing/Stabbing	4.80	5.00
		UOF Investigation	5.92	7.00
NIC	GP	Slashing/Stabbing	3.57	2.00
		UOF Investigation	2.50	2.50
OBCC	GP	Incarcerated Individual Fight	3.50	3.50
		Investigation-other	4.79	5.08
		Search	2.00	2.00
		Slashing/Stabbing	3.86	3.42
RNDC	GP	Investigation-other	4.10	4.50
		Slashing/Stabbing	3.92	3.50
		UOF Investigation	6.00	6.00
VCBC	GP	Other	4.00	4.00
		Slashing/Stabbing	2.88	2.33

8. The number of times mandated services are affected by an emergency lock-in or lock in extension, disaggregated by service type

MANDATED SERVICE	SERVICE TYPE	MANDATED COUNT
EDUCATIONAL SERVICES	CANCELLED	0
	DELAYED	0
LAW LIBRARY	CANCELLED	10
	DELAYED	0
PHONE CALLS	CANCELLED	19
	DELAYED	70
RECREATION	CANCELLED	21
	DELAYED	49
RELIGIOUS SERVICES	CANCELLED	7
	DELAYED	10
SICK CALL ³	CANCELLED	0
	DELAYED	0
VISITS	CANCELLED	21
	DELAYED	33

³ The sick call triage hotline is always available to people during all lock out hours. An emergency lock in would not prevent access to the hotline unless access to phones had been restricted as a part of the emergency lock in measure. Nevertheless, emergency lock ins do not prevent medical services and anyone with a medical need can still access the clinic during an emergency lock in.

9. The mean and median duration of continuous lock-ins disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department, and the housing area type.

Facility	HA Type	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
AMKC	GP	Assault on Staff	4.00	4.00
		Slashing/Stabbing	4.95	5.00
GRVC	GP	Investigation-other	3.25	2.00
		Other	3.50	3.50
		Slashing/Stabbing	4.46	5.00
		UOF Investigation	4.53	5.00
	RESTRICTIVE	Investigation-other	3.30	2.00
		Other	7.00	7.00
		Slashing/Stabbing	4.80	5.00
NIC	GP	Slashing/Stabbing	3.57	2.00
		UOF Investigation	2.50	2.50
OBCC	GP	Incarcerated Individual Fight	3.50	3.50
		Investigation-other	4.79	5.08
		Search	2.00	2.00
		Slashing/Stabbing	3.89	3.83
		TSO	6.00	6.00
	NON-HOUSING AREA	TSO	6.00	6.00
RNDC	GP	Investigation-other	4.10	4.50
		Slashing/Stabbing	3.92	3.50
		TSO	7.00	7.00
		UOF Investigation	6.00	6.00
	NON-HOUSING AREA	TSO	7.00	7.00
VCBC	GP	Escape	12.25	12.25
		Other	4.00	4.00
		Slashing/Stabbing	2.88	2.33
		TSO	6.50	6.50
	NON-HOUSING AREA	Escape	12.25	12.25
		TSO	6.50	6.50

10. The number of times that the duration of a continuous lock-in exceeds 24 hours, disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department, and the housing area type.⁴

Facility	HA Type	Lock-In Reasons	# Exceeds 24 Hour Lock-In
AMKC	GP	Slashing/Stabbing	1
GRVC	GP	UOF Investigation	3
	RESTRICTIVE	Slashing/Stabbing	1
		UOF Investigation	1
OBCC	GP	Slashing/Stabbing	5
RNDC	GP	Slashing/Stabbing	2

In comparison to the previous four reporting periods, department-wide lockdowns remained the same. The number of facility emergency lock-ins remained the same. The number of lock-in extensions remained the same. The number of partial emergency lock-ins decreased. The number of mandated services interrupted decreased. The number of continuous lock-in exceeding 24 hours decreased.

To review the FY21 Quarter 4, FY21 Quarter 3, FY21 Quarter 2, and FY20 Quarter 1, Emergency Lock-In Reports please visit https://www1.nyc.gov/site/doc/about/emergency_lock-in_Reports.page.

⁴ Pursuant to local law 164, a continuous lock-in as used in this report refers to any period of time during which incarcerated individuals are confined to their cells or beds due to the combination of an emergency lock-in and either a scheduled lock-in or a lock-in extension, or both. For the purposes of this data metric, these 24-hour lock-in periods are continuous lock-ins, including both scheduled lock-ins and emergency-lock-in periods.